

CRF Errors Corrected by the STIC Systems Branch

Serial Number: 09/904,485A

CRF Processing Date: 2/21/2002  
 Edited by: 1/7/1 OIFE  
 Verified by: 1/7/1 OIFE (STIC staff)

**ENTERED**

**RECEIVED**

MAR 04 2002

- ☐ Changed a file from non-ASCII to ASCII
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically: \_\_\_\_\_
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other \_\_\_\_\_
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: \_\_\_\_\_
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: \_\_\_\_\_
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: 173
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: \_\_\_\_\_
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: \_\_\_\_\_
- ☐ Deleted: ☐ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end of file; ☐ page numbers throughout text; ☐ other invalid text, such as \_\_\_\_\_
- ☐ Inserted mandatory headings, specifically: \_\_\_\_\_
- ☐ Corrected an obvious error in the response, specifically: \_\_\_\_\_
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: \_\_\_\_\_
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted *ending* stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: \_\_\_\_\_
- ☐ Other: \_\_\_\_\_

\*Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.

3/1/95



OIPE

## RAW SEQUENCE LISTING

DATE: 02/21/2002

PATENT APPLICATION: US/09/904,485A

TIME: 08:21:19

Input Set : N:\Crf3\02112002\I904485A.raw

Output Set: N:\CRF3\02212002\I904485A.raw

P.S

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1 <110> APPLICANT: Genentech, Inc.
2   Ashkenazi, Avi
3   Botstein, David
4   Desnoyers, Luc
5   Eaton, Dan L.
6   Ferrara, Napoleone
7   Filvaroff, Ellen
8   Fong, Sherman
9   Gao, Wei-Qiang
10  Gerber, Hanspeter
11  Gerritsen, Mary E.
12  Goddard, A.
13  Godowski, Paul J.
14  Grimaldi, Christopher J.
15  Gurney, Austin L.
16  Hillan, Kenneth, J.
17  Kljavin, Ivar J.
18  Mather, Jennie P.
19  Pan, James
20  Paoni, Nicholas F.
21  Roy, Margaret Ann
22  Stewart, Timothy A.
23  Tumas, Daniel
24  Williams, P. Mickey
25  Wood, William, I.
26 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic
27   Acids Encoding the Same
28 <130> FILE REFERENCE: 10466-14
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30 <141> CURRENT FILING DATE: 2001-07-13
31 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414
32 <151> PRIOR FILING DATE: 2000-02-22
33 <150> PRIOR APPLICATION NUMBER: US 60/143,048
34 <151> PRIOR FILING DATE: 1999-07-07
35 <150> PRIOR APPLICATION NUMBER: US 60/145,698
36 <151> PRIOR FILING DATE: 1999-07-26
37 <150> PRIOR APPLICATION NUMBER: US 60/146,222
38 <151> PRIOR FILING DATE: 1999-07-28
39 <150> PRIOR APPLICATION NUMBER: PCT/US99/20594
40 <151> PRIOR FILING DATE: 1999-09-08
41 <150> PRIOR APPLICATION NUMBER: PCT/US99/20944
42 <151> PRIOR FILING DATE: 1999-09-13
43 <150> PRIOR APPLICATION NUMBER: PCT/US99/21090

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## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/904,485A

DATE: 02/21/2002

TIME: 08:21:19

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57 <150> PRIOR APPLICATION NUMBER: PCT/US99/30095
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82      agcggagatg ggagcagaca gggcgacggg tcctgccggt gccacatggg gtaccagggc 660
83      ccgctgtgca ctgactgcat ggacggctac ttcagctcgc tccggaacga gaccacagc 720
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85      ggcgagtgtg aagtgggctg ggtgctggac gagggcgccct gtgtggatgt ggacgagtgt 840
86      gcggccgagc cgcctccctg cagcgctgcg cagttctgta agaacgcaa cggctcctac 900
87      acgtgcgaag agtgtgactc cagctgtgtg ggtgcacag gggaaggccc aggaaactgt 960
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## RAW SEQUENCE LISTING

DATE: 02/21/2002

PATENT APPLICATION: US/09/904,485A

TIME: 08:21:19

Input Set : N:\Crif3\02112002\I904485A.raw

Output Set: N:\CRF3\02212002\I904485A.raw

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97      gcccaacttg tttattgcag cttataatgg ttacaaataa agcaatagca tcacaaattt 1560
98      cacaaataaa gcattttttt cactgcattc tagttgtggt ttgtccaaac tcatcaatgt 1620
99      atcttatcat gtctggatcg ggaattaatt cggcgcagca ccatggcctg aaataacctc 1680
100     tgaaagagga acctgggttag gtacctcttg aggcggaaag aaccagctgt ggaatgtgtg 1740
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108 <400> SEQUENCE: 2
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112         20             25             30
113     Arg Cys Arg Gly Leu Val Asp Lys Phe Asn Gln Gly Met Val Asp Thr
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115     Ala Lys Lys Asn Phe Gly Gly Gly Asn Thr Ala Trp Glu Glu Lys Thr
116         50             55             60
117     Leu Ser Lys Tyr Glu Ser Ser Glu Ile Arg Leu Leu Glu Ile Leu Glu
118         65             70             75             80
119     Gly Leu Cys Glu Ser Ser Asp Phe Glu Cys Asn Gln Met Leu Glu Ala
120         85             90             95
121     Gln Glu Glu His Leu Glu Ala Trp Trp Leu Gln Leu Lys Ser Glu Tyr
122         100            105            110
123     Pro Asp Leu Phe Glu Trp Phe Cys Val Lys Thr Leu Lys Val Cys Cys
124         115            120            125
125     Ser Pro Gly Thr Tyr Gly Pro Asp Cys Leu Ala Cys Gln Gly Gly Ser
126         130            135            140
127     Gln Arg Pro Cys Ser Gly Asn Gly His Cys Ser Gly Asp Gly Ser Arg
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131     Cys Thr Asp Cys Met Asp Gly Tyr Phe Ser Ser Leu Arg Asn Glu Thr
132         180            185            190
133     His Ser Ile Cys Thr Ala Cys Asp Glu Ser Cys Lys Thr Cys Ser Gly
134         195            200            205
135     Leu Thr Asn Arg Asp Cys Gly Glu Cys Glu Val Gly Trp Val Leu Asp
136         210            215            220
137     Glu Gly Ala Cys Val Asp Val Asp Glu Cys Ala Ala Glu Pro Pro Pro
138         225            230            235            240
139     Cys Ser Ala Ala Gln Phe Cys Lys Asn Ala Asn Gly Ser Tyr Thr Cys
140         245            250            255
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142         260            265            270
143     Asn Cys Lys Glu Cys Ile Ser Gly Tyr Ala Arg Glu His Gly Gln Cys

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## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/904,485A

DATE: 02/21/2002

TIME: 08:21:19

Input Set : N:\Crf3\02112002\I904485A.raw

Output Set: N:\CRF3\02212002\I904485A.raw

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147 Asn Glu Asn Cys Tyr Asn Thr Pro Gly Ser Tyr Val Cys Val Cys Pro
148          305          310          315          320
149 Asp Gly Phe Glu Glu Thr Glu Asp Ala Cys Val Pro Pro Ala Glu Ala
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158 <213> ORGANISM: Homo sapiens
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162 aacagccctg gctgaggagg ctgcagcgca gcagagtatc tgacggcgcc aggttgcgta 180
163 ggtgcggcac gaggagtttt cccggcagcg aggaggtcct gagcagcatg gcccggagga 240
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165 gggcggaggc cgggcgcgcg caggaggaga gctgtacct atggatcgat gctcaccagg 360
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190 ttgttacatt tttaaaaatt gctcttaatt tttaaactct caatacaata tattttgacc 1860
191 ttaccattat tccagagatt cagtattaaa aaaaaaaaaa ttacactgtg gtagtggcat 1920
192 ttaaacaata taatatattc taaacacaat gaaataggga atataatgta tgaacttttt 1980
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## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/904,485A

DATE: 02/21/2002

TIME: 08:21:19

Input Set : N:\Crif3\02112002\I904485A.raw

Output Set: N:\CRF3\02212002\I904485A.raw

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208           35             40             45
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210           50             55             60
211      Pro Phe Thr His Asp Phe Arg Lys Ala Gln Gln Arg Met Pro Ala Ile
212           65             70             75             80
213      Pro Val Asn Ile His Ser Met Asn Phe Thr Trp Gln Ala Ala Gly Gln
214           85             90             95
215      Ala Glu Tyr Phe Tyr Glu Phe Leu Ser Leu Arg Ser Leu Asp Lys Gly
216           100            105            110
217      Ile Met Ala Asp Pro Thr Val Asn Val Pro Leu Leu Gly Thr Val Pro
218           115            120            125
219      His Lys Ala Ser Val Val Gln Val Gly Phe Pro Cys Leu Gly Lys Gln
220           130            135            140
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222           145            150            155            160
223      Gly Asn Thr Ile Leu Gln Thr Pro Gln Asn Ala Ile Phe Phe Lys Thr
224           165            170            175
225      Cys Gln Gln Ala Glu Cys Pro Gly Gly Cys Arg Asn Gly Gly Phe Cys
226           180            185            190
227      Asn Glu Arg Arg Ile Cys Glu Cys Pro Asp Gly Phe His Gly Pro His
228           195            200            205
229      Cys Glu Lys Ala Leu Cys Thr Pro Arg Cys Met Asn Gly Gly Leu Cys
230           210            215            220
231      Val Thr Pro Gly Phe Cys Ile Cys Pro Pro Gly Phe Tyr Gly Val Asn
232           225            230            235            240
233      Cys Asp Lys Ala Asn Cys Ser Thr Thr Cys Phe Asn Gly Gly Thr Cys
234           245            250            255
235      Phe Tyr Pro Gly Lys Cys Ile Cys Pro Pro Gly Leu Glu Gly Glu Gln
236           260            265            270
237      Cys Glu Ile Ser Lys Cys Pro Gln Pro Cys Arg Asn Gly Gly Lys Cys
238           275            280            285
239      Ile Gly Lys Ser Lys Cys Lys Cys Ser Lys Gly Tyr Gln Gly Asp Leu
240           290            295            300
241      Cys Ser Lys Pro Val Cys Glu Pro Gly Cys Gly Ala His Gly Thr Cys
242           305            310            315            320
243      His Glu Pro Asn Lys Cys Gln Cys Gln Glu Gly Trp His Gly Arg His

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## VERIFICATION SUMMARY

PATENT APPLICATION: US/09/904,485A

DATE: 02/21/2002

TIME: 08:21:20

Input Set : N:\Crf3\02112002\I904485A.raw

Output Set: N:\CRF3\02212002\I904485A.raw

L:29 M:270 C: Current Application Number differs, Wrong Format

L:403 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13

L:404 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13

L:405 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13

L:406 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13

L:614 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26

L:1341 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50

L:2841 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113

L:3206 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:131

L:4238 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:174

L:4338 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:175

L:5176 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:206



OIPE

## RAW SEQUENCE LISTING

DATE: 02/11/2002

PATENT APPLICATION: US/09/904,485A

TIME: 13:00:47

Input Set : D:\sequence listing.txt

Output Set: N:\CRF3\02112002\I904485A.raw

3 <110> APPLICANT: Genentech, Inc.  
 4 Ashkenazi, Avi  
 5 Botstein, David  
 6 Desnoyers, Luc  
 7 Eaton, Dan L.  
 8 Ferrara, Napoleone  
 9 Filvaroff, Ellen  
 10 Fong, Sherman  
 11 Gao, Wei-Qiang  
 12 Gerber, Hanspeter  
 13 Gerritsen, Mary E.  
 14 Goddard, A.  
 15 Godowski, Paul J.  
 16 Grimaldi, Christopher J.  
 17 Gurney, Austin L.  
 18 Hillan, Kenneth, J.  
 19 Kljavin, Ivar J.  
 20 Mather, Jennie P.  
 21 Pan, James  
 22 Paoni, Nicholas F.  
 23 Roy, Margaret Ann  
 24 Stewart, Timothy A.  
 25 Tumas, Daniel  
 26 Williams, P. Mickey  
 27 Wood, William, I.  
 29 <120> TITLE OF INVENTION: Secreted and Transmembrane Polypeptides and Nucleic  
 30 Acids Encoding the Same  
 32 <130> FILE REFERENCE: 10466-14  
 C--> 34 <140> CURRENT APPLICATION NUMBER: US/09/904,485A  
 C--> 35 <141> CURRENT FILING DATE: 2001-07-13  
 37 <150> PRIOR APPLICATION NUMBER: PCT/US00/04414  
 38 <151> PRIOR FILING DATE: 2000-02-22  
 40 <150> PRIOR APPLICATION NUMBER: US 60/143,048  
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 52 <150> PRIOR APPLICATION NUMBER: PCT/US99/20944  
 53 <151> PRIOR FILING DATE: 1999-09-13  
 55 <150> PRIOR APPLICATION NUMBER: PCT/US99/21090

Does Not Comply  
 Corrected Elements Needed



## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/904,485A

DATE: 02/11/2002

TIME: 13:00:47

Input Set : D:\sequence listing.txt

Output Set: N:\CRF3\02112002\I904485A.raw

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58 <150> PRIOR APPLICATION NUMBER: PCT/US99/21547  
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85 <151> PRIOR FILING DATE: 2000-01-05  
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(42) 43

## VERIFICATION SUMMARY

PATENT APPLICATION: US/09/904,485A

DATE: 02/11/2002

TIME: 13:00:50

Input Set : D:\sequence listing.txt

Output Set: N:\CRF3\02112002\I904485A.raw

L:34 M:270 C: Current Application Number differs, Replaced Current Application Number  
L:35 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:511 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:512 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:513 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:514 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:769 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26  
L:1701 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:50  
L:3586 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:113  
L:4040 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:131  
L:5303 M:254 E: No. of Bases conflict, LENGTH:Input:42 Counted:43 SEQ:173  
L:5344 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:174  
L:5479 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:175  
L:6540 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:206